

# Lecture 16: Group and Phase Velocity

Up to now we have just talked about the speed (or velocity)  $v$  of a wave. Actually there are two velocities: the Group Velocity  $v_g$  and the Phase Velocity  $v_p$ . They are given by the memorable formulæ:

$$v_p = \frac{\omega}{k} \quad v_g = \frac{d\omega}{dk}$$

## 1. Phase velocity

A single (infinite) wave is described by the expression  $\cos(\omega t - kx)$  or  $\sin[\frac{2\pi}{\lambda}(x - vt)]$  or equivalent.

The pattern travels with a velocity (actually a speed)  $v_p = \frac{\lambda}{T} = f\lambda = \omega/k$

$v_p$  is what matters with interference. The refractive index  $n$  is defined as  $c/v$  and this means  $c/v_p$

## 2. Group velocity

An infinite wave is unrealistic.

A real wave has to have beginning and end.

The overall shape is called the *envelope*.

Various shapes are possible - abrupt or gentle.

$v_g = \frac{d\omega}{dk}$  is the velocity of the envelope.

## 3. Illustration

Consider two waves almost in step.

They have  $\omega_1, k_1$  and  $\omega_2, k_2$  (and  $\lambda_1, \lambda_2 \dots$ )

Write the means and differences

$$\omega = \frac{\omega_1 + \omega_2}{2}, k = \frac{k_1 + k_2}{2}$$

$$\Delta\omega = \frac{\omega_1 - \omega_2}{2}, \Delta k = \frac{k_1 - k_2}{2}$$

the original quantities can be expressed in terms of these

$$\omega_1 = \omega + \Delta\omega, \omega_2 = \omega - \Delta\omega \text{ etc}$$

Adding the two waves gives a total wave

$$e^{i(\omega_1 t - k_1 x)} + e^{i(\omega_2 t - k_2 x)}$$

This can be written

$$e^{i(\omega t + \Delta\omega t - kx - \Delta kx)} + e^{i(\omega t - \Delta\omega t - kx + \Delta kx)}$$

Take out a common factor:

$$e^{i(\omega t - kx)} (e^{i(\Delta\omega t - \Delta kx)} + e^{i(-\Delta\omega t + \Delta kx)})$$

Remembering  $\cos\theta = \frac{e^{i\theta} + e^{-i\theta}}{2}$  this is  $2\cos(\Delta\omega t - \Delta kx)e^{i(\omega t - kx)}$

The first term is clearly the envelope. It has small wavenumber and frequency and so a long wavelength and period. It travels with velocity  $v_g = \Delta\omega/\Delta k$ .

This generalises:  $v_g = \frac{d\omega}{dk}$

## 4. Finding $v_g$

Often  $v_p$  is known from measurements or from basic principles. Take the expression for  $v_p$  and write  $\omega/k$  for  $v_p$  in it. Turn all the  $\lambda$  and  $f$  etc terms into  $\omega$  and  $k$ .

Then differentiate with respect to  $k$ . This gives an expression involving  $\frac{d\omega}{dk}$  from which  $v_g$  can be extracted.

As a trivial example, suppose  $v_p$  is constant (i.e. independent of wavelength) with value  $c$ . Then  $\omega = ck$  and  $d\omega/dk = c$ . Group and phase velocity are the same in this case.

## 5. Example: Refractive Index

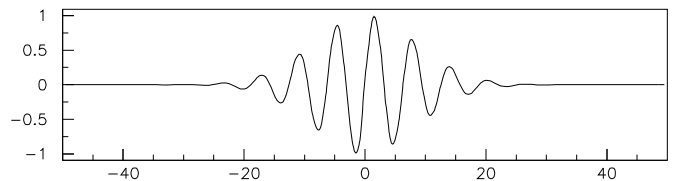
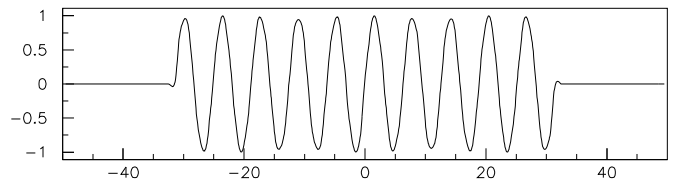
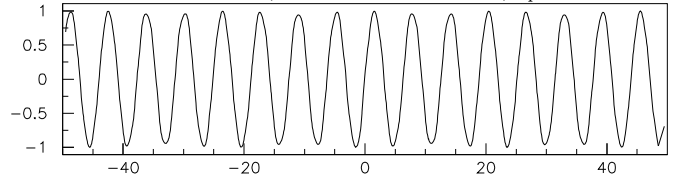
The velocity of light in a medium tends to depend on the wavelength. (Hence rainbows, prisms, etc.). This is called *dispersion*. See the previous lecture for details.

People normally quote  $n$  as a function of  $\lambda$  rather than  $\omega$  as a function of  $k$ . This contains the same information, we need to manipulate it: what follows is mere algebra:

$$\frac{dn}{d\lambda} = \frac{dn}{dk} \frac{dk}{d\lambda}$$

Take these two differentials separately.

$$\text{First } n = \frac{c}{v_p} = \frac{ck}{\omega} \text{ so } \frac{dn}{dk} = \frac{c}{\omega} - \frac{ck}{\omega^2} \frac{d\omega}{dk}$$



and secondly  $k = \frac{2\pi}{\lambda}$  so  $\frac{dk}{d\lambda} = -\frac{2\pi}{\lambda^2} = -\frac{k}{\lambda}$   
 Now put these together and get  $\frac{dn}{d\lambda} = -\frac{k}{\lambda} \left( \frac{c}{\omega} - \frac{ck}{\omega^2} v_g \right)$

$$\frac{dn}{d\lambda} = -\frac{kc}{\omega\lambda} + \frac{ck^2}{\omega^2\lambda} v_g = -\frac{c}{v_p\lambda} + \frac{c}{v_p^2\lambda} v_g$$

Rearrange to get an expression for  $v_g$

$$v_g = \frac{v_p^2\lambda}{c} \left( \frac{c}{v_p\lambda} + \frac{dn}{d\lambda} \right)$$

$$v_g = v_p + \frac{v_p\lambda}{n} \frac{dn}{d\lambda} = v_p \left( 1 + \frac{\lambda}{n} \frac{dn}{d\lambda} \right).$$

This can also be written:  $v_g = v_p \left( 1 - \frac{k}{n} \frac{dn}{dk} \right) = v_p - \lambda \frac{dv_p}{d\lambda} = \frac{c}{n + \omega \left( \frac{dn}{d\omega} \right)}$ . Of all these equally-valid alternatives, only  $v_g = \frac{d\omega}{dk}$  is *memorable*.

Note: if  $n$  is falling with  $\lambda$ ,  $v_g < v_p$ . This is called *normal dispersion*. If  $n$  is rising,  $v_g > v_p$  and this is called *anomalous dispersion*.

## 6. Example

Suppose some glass has a slightly different refractive index for red light and blue light:  $n = 1.51$  at 400 nm,  $n = 1.49$  at 600 nm.

This is a fall of  $\delta n = 0.02$  in  $\delta\lambda = 200$  nm. The mean  $\lambda$  is 500 nm and the mean  $n$  is 1.5.

$$v_p = 2 \times 10^8 \text{ m/s} \quad v_g = 2 \times 10^8 (1 - (500/1.5)(.02/200)) = 2 \times 10^8 (1 - .0333) = 1.93 \times 10^8 \text{ m/s}$$

## 7. Refractive index of X rays

We saw in the last lecture that at the highest frequencies (=shortest wavelengths, hence X rays) one can write  $n = \sqrt{1 - B/\omega^2}$  where  $B$  is a positive number containing  $N$  and all the proportionality constants. This  $n$  is less than 1 so  $v_p > c$  (!)

Putting that worry on one side, let's find the group velocity. Start from  $n = \frac{c}{v_p} = \frac{ck}{\omega} = \sqrt{1 - \frac{B}{\omega^2}}$

$$\text{Squaring: } \frac{c^2 k^2}{\omega^2} = 1 - \frac{B}{\omega^2}$$

$$\text{Multiplying by } \omega^2: c^2 k^2 = \omega^2 - B$$

$$\text{Then differentiate wrt } k: 2c^2 k = 2\omega \frac{d\omega}{dk}$$

$$\text{This gives } v_g = c^2/v_p$$

so  $v_g < c$  and relativity is OK, as information (causal signals) travels with  $v_g$  not  $v_p$ .

In this case we have  $v_g v_p = c^2$ . The product of the group and phase velocities is equal to  $c^2$ . There are many cases where this turns out to be true, but it is not universal and there are some where it isn't.

## 8. A more general picture for deriving $v_g = \frac{d\omega}{dk}$

The earlier (standard) example just considered two waves. If you're happy with that, fine. For a more general approach we need to bring in an extended concept of Fourier Series.

A periodic function can be expressed as sum of sine and cosine terms

$$f(\theta) = \sum_k a_k \sin(k\theta) + b_k \cos(k\theta) \quad a_k = \frac{1}{\pi} \int_{-\pi}^{\pi} f(\theta) \sin(k\theta) d\theta \quad b_k = \frac{1}{(2)\pi} \int_{-\pi}^{\pi} f(\theta) \cos(k\theta) d\theta$$

This can be extended to non-periodic functions

$$f(x) = \int_{-\infty}^{\infty} F(k) e^{ikx} dk \quad \text{where } F(k) = \frac{1}{2\pi} \int_{-\infty}^{\infty} f(x) e^{-ikx} dx$$

An infinite sine wave has a well-defined wavelength and thus a well-defined  $k$ .  $F(k)$  is a delta function.

If  $F(k)$  is broad, the wave is made up of lots of sine waves of different wavelengths and has a short wavepacket (as the contributions all cancel away from the peak).

Suppose we have a long wave packet  $f(x)$ . That means that there is a small spread in  $k$ . The function  $F(k)$  will have a sharp peak about some central value  $k_0$ . At some initial time  $t = 0$  the wave can be written

$$f(x, 0) = \int_{-\infty}^{\infty} F(k) e^{ikx} dk$$

After time  $t$  the wave has evolved to

$$f(x, t) = \int_{-\infty}^{\infty} F(k) e^{i(kx - \omega(k)t)} dk$$

where  $\omega(k)$  explicitly shows that different wavelength components have different frequencies.

Let  $\omega(k) = \omega_0 + \frac{d\omega}{dk}(k - k_0)$ . This is the Taylor expansion to 1st order, and we're using the fact that the spread in  $k$  is small.

$$\text{Then } f(x, t) = \int F(k) e^{i(k_0 x + kx - k_0 x - \omega_0 t - (k - k_0) \frac{d\omega}{dk} t)} dk$$

$$f(x, t) = e^{i(k_0 x - \omega_0 t)} \int F(k) e^{i(k - k_0)(x - \frac{d\omega}{dk} t)} dk$$

The first part is the pure sine wave. The second part (the integral) describes the envelope. It looks messy, but all the  $x$  and  $t$  dependence is in the  $x - \frac{d\omega}{dk} t$ . So the envelope progresses with velocity  $\frac{d\omega}{dk} \equiv v_g$ .